

# Software Toolkit for HFE-based Multivariate Schemes

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## Motivations

- 11/2017 and 01/2019: beginning of the 1<sup>st</sup> and 2<sup>nd</sup> rounds of the NIST post-quantum cryptography standardization process.
- Signature: 4 second round candidates over 9 are multivariate.
- Libraries: code [McBits, CHES'2013, ...], lattice [NFLlib, CT RSA'16, ...], but no library for the multivariate-based schemes!

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## Our contribution: MQsoft

- An efficient C library exploiting SSE and AVX2 instructions set.
- Matsumoto-Imai-based schemes: QUARTZ, Gui, GeMSS.
- Fast arithmetic in  $\mathbb{F}_2[X]$ ,  $\mathbb{F}_{2^n}$  and  $\mathbb{F}_{2^n}[X]$  (with root finding), multivariate quadratic systems in  $\mathbb{F}_2$  (evaluation, change of variables, ...), constant-time implementation against timing attacks (as often as possible).

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## Matsumoto-Imai [EUROCRYPT '88]

- Public-key: a multivariate quadratic system.
- Example in  $\mathbb{F}_2$ :  $\mathbf{p}(x_1, x_2, x_3) = \begin{cases} x_1x_2 + x_2x_3 + x_1 + 1 \\ x_1x_2 + x_1x_3 + x_1 \end{cases}$
- Verifying process: evaluation of the public-key.
- Signing process: affine transformations + inversion of the private map.

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## HFE-based signature schemes [Patarin, EUROCRYPT '96]

- Signing process: to find the roots of a univariate polynomial.
- Schemes: QUARTZ (2001), Gui (2015), GeMSS (2017), DualModeMS (2017), BlueGeMSS (2019), RedGeMSS (2019).

## QUARTZ (a NESSIE submission)

- In 2001: 4s to generate the keys, 10s to sign, 900 $\mu$ s to verify.
- With MQsoft (new hardware + new library): 2.0ms to generate the keys, 20ms to sign, 6.4 $\mu$ s to verify.

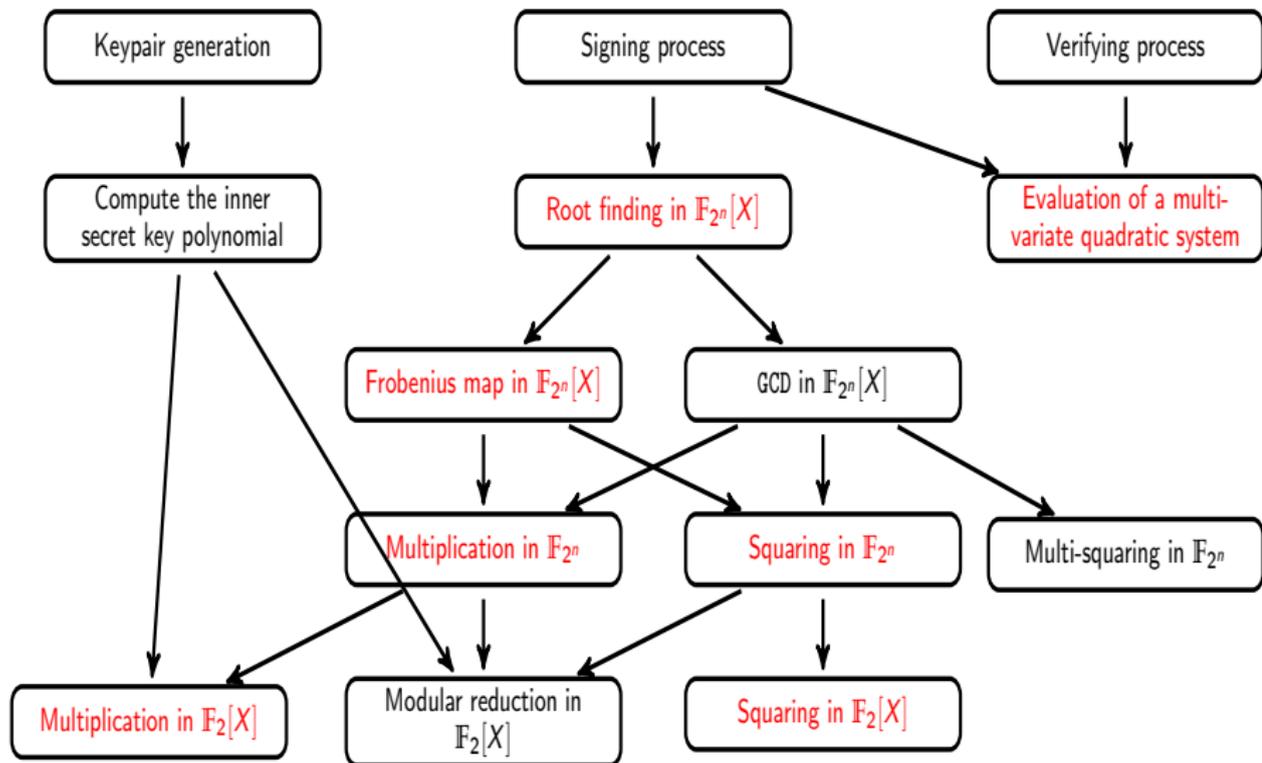
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sign. scheme	sec. level	key gen.	sign.	verif.
GeMSS128	128	+220%	+100%	+95%
GeMSS192	192	+220%	+57%	+84%
GeMSS256	256	+240%	+110%	+75%
Gui-184	128	+1200%	+100%	+73%
Gui-312	192	+1600%	+95%	+56%
Gui-448	256	+2500%	+85%	+58%

Speed-up (best first round implementations compared to MQsoft), Haswell processor. Speed-up of 100% for the signing process, and between 60% and 100% for the verifying process.

# MQsoft: architecture for HFE



## Software and libraries for number theory

- Magma, a computer algebra software.
- NTL, A Library for Doing Number Theory (in C++).
- FLINT, Fast Library for Number Theory, **less efficient in  $\mathbb{F}_{2^n}$ !**
- gf2x (C library), specialized for the multiplication in  $\mathbb{F}_2[X]$ .

## Implementations for specific fields

- Elliptic curves [BluGue13]:  $\mathbb{F}_{2^{163}}$ ,  $\mathbb{F}_{2^{233}}$ ,  $\mathbb{F}_{2^{283}}$ , ...
- Gui [mpkc-128bit, gui-pq-submission]:  $\mathbb{F}_{2^{184}}$ ,  $\mathbb{F}_{2^{240}}$ ,  $\mathbb{F}_{2^{312}}$ , ...

## MQsoft

- Arithmetic in  $\mathbb{F}_{2^n}$  for  $n \leq 576$ , in C using AVX2 instructions set.
- Especially efficient on Skylake processors (6<sup>th</sup> generation), but also efficient on Haswell processors (4<sup>th</sup> generation).

# Constant-time product in $\mathbb{F}_{2^n} = \mathbb{F}_2[X]/f(x)$

Code using SSE (128 bits) or AVX2 (256 bits) instructions sets.

## Multiplication

The most important operation!

- 1 School-book algorithm by block of 64 bits (PCLMULQDQ).
- 2 Karatsuba algorithm, the base case depends on the processor.

$n$	Magma	NTL	MQsoft
252	558	169	36-40
511	761	320	91-92

Multiplication in  $\mathbb{F}_{2^n}$  in cycles, Skylake processor.

## Squaring

Linear operation in char. 2:  
 $(ax + b)^2 = a^2x^2 + b^2$ .

- 1 Table lookups of square (PSHUFB, VPSHUFB).
- 2 Squaring of each 64-bit block (PCLMULQDQ).

$n$	Magma	NTL	MQsoft
252	455	128	15-24
511	510	174	24-27

Squaring in  $\mathbb{F}_{2^n}$  in cycles, Skylake processor.

# Representation of multivariate quadratic systems ( $m$ equations, $n$ variables)

## Representation "equation by equation"

- The equations are stored one by one.

- Example in  $\mathbb{F}_2$ :  $\mathbf{p}(x_1, x_2, x_3) = \begin{cases} x_1x_2 + x_2x_3 + x_1 + 1 & (1) \\ x_1x_2 + x_1x_3 + x_1 & (2) \end{cases}$

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## Representation "coefficient by coefficient"

- The system is stored as an equation in the big field  $\mathbb{F}_{2^m}$ .
- Example in  $\mathbb{F}_2$ : let  $\mathbb{F}_4 = \mathbb{F}_2[X]/(\alpha^2 + \alpha + 1)$ ,  
$$\mathbf{p}(x_1, x_2, x_3) = 1 \times (1) + \alpha \times (2)$$
$$= (\alpha + 1)x_1x_2 + \alpha x_1x_3 + x_2x_3 + (\alpha + 1)x_1 + 1$$
- This representation is used in [Berbain, Billet, Gilbert, Efficient Implementations of Multivariate Quadratic Systems] and MQsoft.

# Evaluation in variable-time

- $\mathbf{p} \in \mathbb{F}_{2^m}[x_1, \dots, x_n]$  is stored as a quadratic form in the row-major order.
- Example:

$\mathbf{p.cst}$	$x_1$	$x_2$	$x_3$	$x_4$
$x_1$	$\mathbf{p}_{1,1}$	$\mathbf{p}_{1,2}$	$\mathbf{p}_{1,3}$	$\mathbf{p}_{1,4}$
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- MQsoft: speed-up of 38%, based on unrolled loops and an Euclidean division of the indices of the loops.

# Evaluation in variable-time

- $\mathbf{p} \in \mathbb{F}_{2^m}[x_1, \dots, x_n]$  is stored as a quadratic form in the row-major order.
- Example:

$\mathbf{p.cst}$	$x_1 = 1$	$x_2 = 0$	$x_3 = 1$	$x_4 = 0$
$x_1 = 1$	$\mathbf{p}_{1,1}$	$\mathbf{p}_{1,2}$	$\mathbf{p}_{1,3}$	$\mathbf{p}_{1,4}$
$x_2 = 0$		$\mathbf{p}_{2,2}$	$\mathbf{p}_{2,3}$	$\mathbf{p}_{2,4}$
$x_3 = 1$			$\mathbf{p}_{3,3}$	$\mathbf{p}_{3,4}$
$x_4 = 0$				$\mathbf{p}_{4,4}$

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- On average, 75% of the monomials are null.
- MQsoft: speed-up of 38%, based on unrolled loops and an Euclidean division of the indices of the loops.
- Our constant-time implementation is 10% faster on Skylake, by using the `vpermq` instruction in a specific way.

# Root finding in $\mathbb{F}_{2^n}[X]$

Root finding algorithm of  $F \in \mathbb{F}_{2^n}[X]$  [von zur Gathen, Gerhard, Modern Computer Algebra]

- 1  $H = X^{2^n} - X \bmod F$ .
- 2  $G = \text{GCD}(F, H)$ .  $G$  is split and has a small number of roots.
- 3 Computation of all roots of  $G$  with an equal-degree factorization algorithm.

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Specificity of the HFE polynomial  $F$

$$F = \sum_{\substack{0 \leq j < i < n \\ 2^i + 2^j \leq D}} A_{i,j} X^{2^i + 2^j} + \sum_{\substack{0 \leq i < n \\ 2^i \leq D}} B_i X^{2^i} + C \in \mathbb{F}_{2^n}[X]$$

- $F$  is sparse (quadratic form,  $\frac{1}{2} \log_2(D)^2$  coefficients).

# Repeating squaring algorithm

---

Classical method to compute  $X^{2^n} - X \bmod F$ .

---

**function** RepeatingSquaring( $F \in \mathbb{F}_{2^n}[X]$ )

$X_i \leftarrow X$

▷  $X_i$  is  $X^{2^i} \bmod F$

**for**  $i$  from 1 to  $n$  **do**

$X_i \leftarrow X_i^2 \bmod F$

**end for**

**return**  $X_i + X$

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## Specificities

- The odd degree terms of  $X_i^2$  are zero.
- Modular reduction by a sparse polynomial:  $\frac{D}{2} \log_2(D)^2$  field multiplications.

# Improvement of the repeating squaring algorithm

Let:

- $X_i^2 = FQ + X_{i+1}$  the Euclidean division of  $X_i^2$  by  $F$ ,
- $F = F_{\text{low}} + X^{d+1}F_{\text{high}}$ , with  $f_d X^d$  the largest odd degree term,
- $Q = Q_{\text{low}} + X^{d-1}Q_{\text{high}}$ .

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- 1 The odd degree terms of  $F_{\text{high}}$  are null,
- 2 The odd degree terms of  $Q_{\text{high}}$  are null,
- 3 If  $D$  is even,  $\tilde{F} = F - f_d X^d = \tilde{F}_{\text{low}} + X^{\tilde{d}+1}\tilde{F}_{\text{high}}$  with  $\tilde{d} = \frac{d+1}{2}$

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## Theorem (simplified)

Let  $D$  be an even integer, and  $F$  be a  $D$ -degree HFE polynomial. By removing  $s$  odd degree terms of  $F$ , the Euclidean division of  $X_i$  by  $F$  can be accelerated by a factor  $< 2$ .

# Sparse HFE polynomials and security

s	d	Number of non-zero terms of Q	Speed-up
0	129	129	0%
1	65	97	33%
2	33	81	59%
3	17	73	77%
4	9	69	87%
5	5	67	93%
6	3	66	95%
7	1	65 (only even degree terms)	98%

Speed-up of the Euclidean division of  $X_i$  by  $F$  for  $D = 130$ . We remove  $\{f_{129}X^{129}, f_{65}X^{65}, \dots, f_{2d-1}X^{2d-1}\} = s$  terms.

# Sparse HFE polynomials and security

s	d	Number of non-zero terms of Q	Speed-up	$D_{\text{reg}}^{\text{Experimental}}$
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1	65	97	33%	5
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## Complexity of the Gröbner Basis attack [FauJou03]

The complexity of the direct attack against the HFE-based schemes is  $O(n^{\omega D_{\text{reg}}})$ , with  $D_{\text{reg}}$  the degree of regularity and  $2 \leq \omega \leq 3$ .

$n$	$D$	$s$	NTL	Magma	MQsoft
174	513	0	1090	-3.6%	<b>+840%</b>
	514	3	1100	+46%	<b>+1500%</b>
354	513	0	4370	+16%	<b>+640%</b>
	514	3	4390	+88%	<b>+1200%</b>

Number of mega cycles to find the roots of a HFE polynomial with NTL, followed by the speed-ups obtained respectively with Magma and MQsoft (Skylake processor).

## Results

- NTL is not adapted to the sparse polynomials.
- Magma exploits the parameter  $s$  with a variable-time implementation.
- MQsoft is fast and has a constant-time sparse repeating squaring algorithm.

## Performance

- MQsoft is an efficient C library faster than the generic libraries.
- MQsoft improves the NIST candidates **GeMSS** and **Gui**.
- The parameter  $s$  accelerates the root finding of HFE polynomials in  $\mathbb{F}_{2^n}[X]$ .

## Perspectives

- The security of the parameter  $s$  must be studied in depth.
- To propose methods in constant-time for the GCD and the choice of a root during the root finding.
- To add the use of **AVX-512** and the **VPCLMULQDQ** instruction<sup>a</sup>.

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<sup>a</sup>Available on the future Ice Lake processors (10<sup>th</sup> generation)

Thank you for your attention.

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